The Future of Scholarly Publication: Key Issues Facing Computing Research

Joseph A. Konstan, Moderator University of Minnesota konstan@umn.edu Topics

- You will decide which/how much time on each ...
- Publishing "Culture"
 - o Journals, Conferences, Workshops
 - Quantity, Quality, Impact
- Beyond the PDF (even beyond the .tex)
 - Publishing and archiving data, code, interaction
- Open Access Regulations and Policies
 What does a department head or dean need to know?

Non-Goals ...

- Reprising the Open Access Debate
 - We all know that people prefer:
 - Free to publish
 - Free to access
 - Quality reviewing / refereeing
 - Well-managed and robust permanent archives
- Another dissection of costs-of-publishing ...

Our Panelists

Jack Davidson, University of Virginia
 o co-Chair of ACM Publications Board

- Todd Green, Elsevier
 - Publisher, Computing and Digital Security







OK, Time to Choose

- Publishing "Culture"
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Computer Science Publishing Culture

We Want You to Get Credit for Participating ...

- Questions on this topic should be anonymously submitted to three referees
 - Those selected for oral presentation may be listed on your c.v. as "other peer-reviewed works"

Yesterday's Panel

- Lamented the lack of complete scholarship and the overemphasis on quantity over quality and impact.
- Suggested shifting the focus in hiring and tenure to reading and assessing impact of a few most important papers (over lists of papers / venue prestige)
- Also suggested removing artificial constraints on paper length, particularly for methods, context, data, etc.
- And suggested reviving venues for presenting earlystage work (workshops without proceedings)

That, and More Too ...

- No shortage of interest in those concepts, but also
- Extensive experiments with journal/conference hybrid publishing models (including conference-to-journal, journal-first, journal-backup).



Journal-First Publication Model



- Some research communities are adopting a "hybrid" model of publication that moves away from deadline-driven, single-review cycle that is typical of conference publishing to a model that:
 - Provides open-ended review cycles (i.e., the possibility of major revision)
 - Is not strictly deadline driven
 - Allows opportunity to describe the work before one's peers at a public presentation
- Proceedings of the VLDB, SIGGRAPH, and TACO

Proceedings of the VLDB



- Allows continuous submission throughout the year
- Accepted papers published and authors offered presentation slot at next available VLDB conference
- Large editorial board (200 or so)
- Short papers (no more than 12 pages)
- Papers reviewed by 3 editorial board members
- Major revision and follow-up review by same reviewers
- Rejected papers are barred from resubmission for one year

"PVLDB is designed to replace the traditional conference publishing for VLDB, with a much more flexible and better scalable submission process and a journal-style reviewing process with better quality assurance."

SIGGRAPH/Transactions on Graphics



- SIGGRAPH
 - 5 reviewers (two senior—primary and secondary, and 3 other experts—tertiary reviewers)
 - Rebuttal period for addressing factual errors in reviews
 - Full TPC determines action
 - Conditionally accept for presentation at next SIGGRAPH (aka minor revision)
 - Conditionally accept for publication in TOG (aka major revision).
 Paper published in TOG and may be presented at a later
 SIGGRAPH conference
 - Conditionally rejected from TOG. Enough merit that the reviewers encourage submitting a revision to either TOG or SIGGRAPH. Authors can request "reviewer continuity."
 - Rejected

SIGGRAPH/Transactions on Graphics



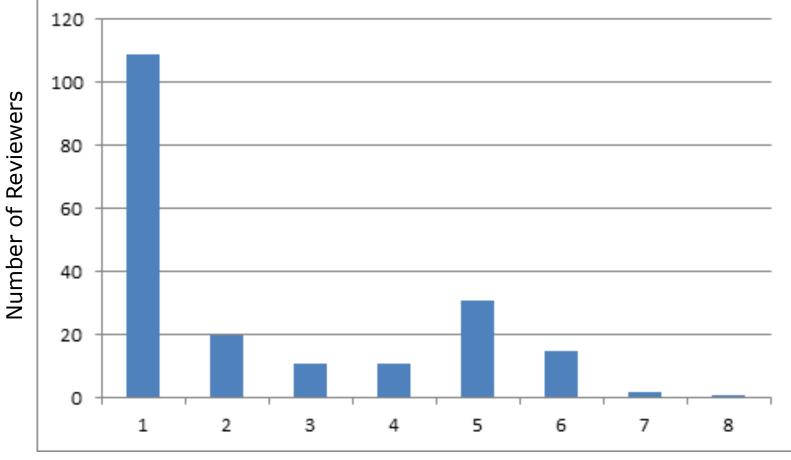
- Transactions on Graphics has 3 submission categories
 - Previously unpublished research paper
 - SIGGRAPH accept with major revision
 - Resubmission of SIGGRAPH paper requesting reviewer continuity.

Transactions on Architecture and Code Optimization/HiPEAC



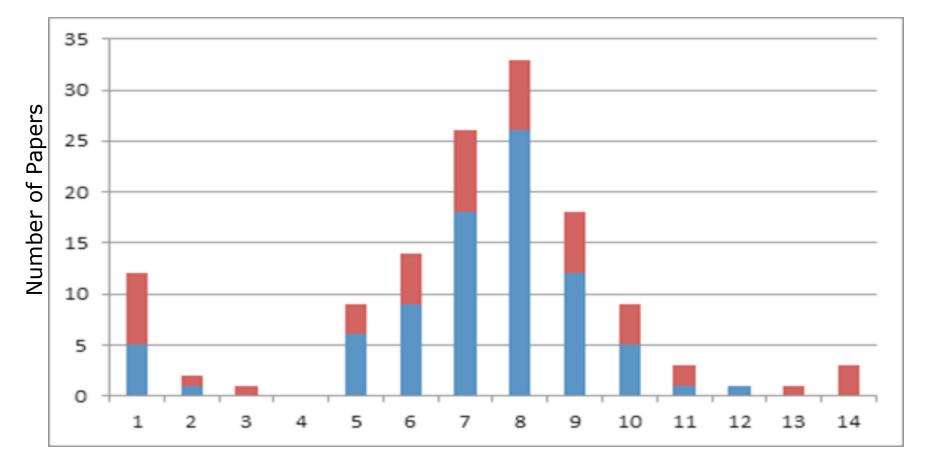
- Papers submitted in June (in response to HiPEAC CFP) are guaranteed two rounds of review before the HiPEAC cutoff of November 15th
- Review process
 - <u>Distinguished reviewers</u> (currently about 100)
 - Distinguished reviewers promise to do 4 or 5 reviews in 4 weeks; and then follow up reviews on any revisions
 - Website helps AEs assign papers to distinguished reviewers
 - Also seek other expert reviews
- Papers accepted to TACO (excluding conference paper extensions) are invited to present at HiPEAC

Distinguished Reviewer Paper Load Histogram



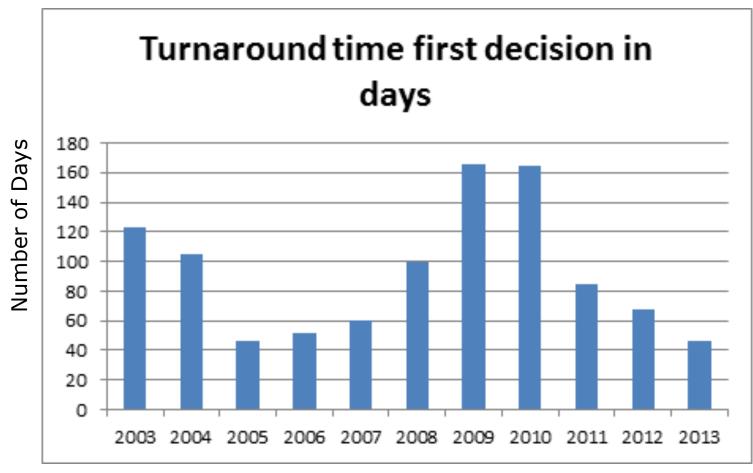
Number of Papers Reviewed

TACO Time to First Decision



Weeks to First Decision

TACO Time to First Decision



Year

Summary



- Journal-first model offers numerous benefits
 - Engages community
 - Shifts publications from conference articles to journal articles
 - Conference becomes networking event
 - Less deadline-driven research/publication
 - No citation count dilution
 - Faster processes help all papers move through faster
 - Overall higher quality output and consistency (better review processes)
- Problems
 - How to scale to thousand submissions
 - Higher publication costs
 - Requires significant infrastructure support
 - Community buy-in



Multi-Stage Conference Review Experiment





- The CSCW Conference (Computer Supported Cooperative Work and Social Computing) is in the third year of a multistage conference review experiment ...
 - All papers get initial review cycle (two external reviewers, one PC member; meta-review by second PC member)
 - Three possible outcomes:
 - Fast-track (accept / accept with minor revisions) -- ~5%
 - Major Revisions -- ~35-55%
 - Reject 40-60%
 - Second review cycle (same reviewers, additional if needed)
 - Accept or Reject
- Strong community support despite higher workload ...

Beyond the PDF (++.tex)

Beyond the PDF???

- CS research often involves artifacts that are not richly represented in current print-oriented technologies:
 - o Datasets (along with metadata, accessors, descriptors)
 - o Code
 - Interactive Experiences (graphics, simulations)
- How do we capture, preserve, disseminate these as part of publication?

A Few Thoughts

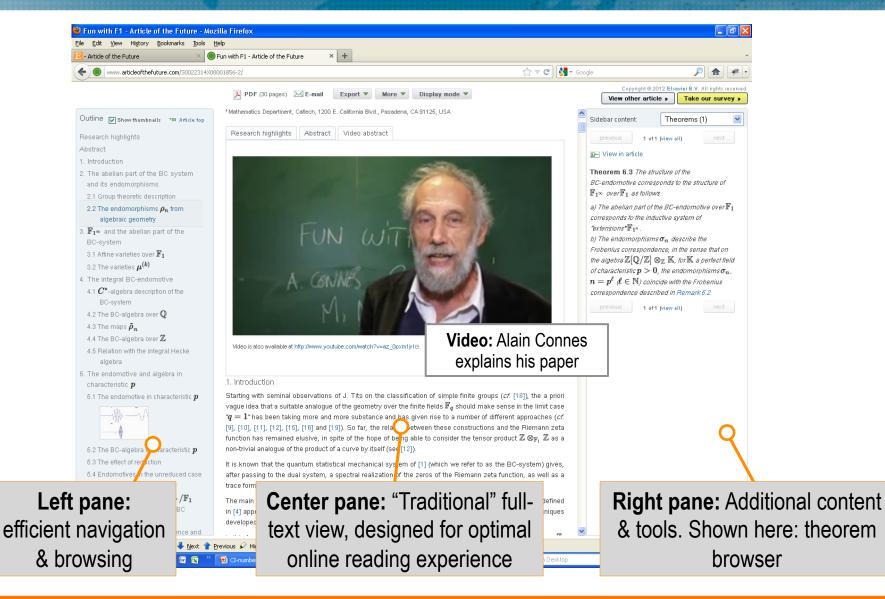
- This is a much bigger problem than simply "capture presentation videos" or even "capture demo videos".
- 2. Archival of working systems requires platforms that maintain their ability to run over a long period. Also need to address security and more!
- 3. Even just sharing and archiving datasets requires significant thought about rights, privacy, etc.

A Few Thoughts

- 4. How do we both minimize and incent the author's effort to publish/share these resources?
- 5. Should these resources be tied to published articles? Published separately?
- 6. One last thing ... do we want to maintain everything running forever?

Article of the Future | Presentation The three-pane format





CRA Leadership Summit - Confidentia

Article of the Future | Presentation Reference browser



absolute magnitude of SAIC represents statistical significance because it is the difference of AICs between two models. If the AIC of the first model is smaller, the anomaly is not significant and SAIC is set as zero by the boxcar function in the equation.

While the number of background events was not determined in any stages of our analysis, the expectation of the number of background events could be estimated because we estimated the probability that a certain event was a background event. By plotting the cumulative expected number of events versus time, we could visualize the temporal rate change within a window period. This is similar to a generalization of the cumulative number plot of independent events obtained by the de-clustering algorithm that separates events. The probability that a certain event was a background event was estimated by maximizing the likelihood with the application of the EM algorithm (Dempster et al., 1977) to the ETAS model, as described in Appendix A.

3.4. Monte Carlo simulation

We conducted a Monte Carlo experiment to compare the obse constant background seismicity model, to find out whether the regular or not. First, we simulated an earthquake using the extended ETAS model shown above

The simulation was conducted in a circular area with a radius twice that of the sampling area. We did not simulate earthquakes over all the Japanese islands at once because doing so would require too much computational time. However, we dyrefully considered the effect from the limited size of the area. The radius had to be greater than the samp vadius because we needed to estimate the effect of earthquakes

Click citation

vea, a hypocenter and an prigin time of a simulated event were in Ogata (1998). His algorithm simulates earthquakes in ly the parameters of the plodel required to simulate the catalog.

Show information

The set of parameters μ , K, c and are of Gutenberg and Richter's magnitude frequency distribution were randomly selected from a set of parameters estimated in all the cases (all study areas and all models with constant or varying rate of background seismicity) with the observed catalog. The b value was not required in the background seismicity analysis, but it was necessary to produce the synthetic catalog and was determined by the maximum likelihood estimate ([Aki, 1965] and [Utsu, 1965]). After simulating all the events in the study period, we accepted synthetic catalogs that included more than 200 and less than 3000 events. Catalogs including more than 3000 events were discarded to save computational time. This procedure was repeated 1000 times.

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	Applications and tools		Ð
	Workspace		Θ
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Y. Ogata

Space-time point-process model for earthquake occurrence

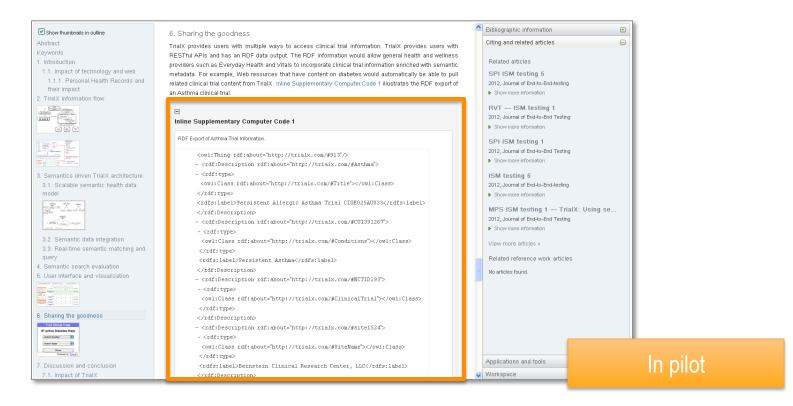
Ann. Inst. Stat. Math., 50 (2) (1998), pp. 379-402

Abstract

Several space-time statistical models are constructed based on both classical empirical studies of clustering and some more speculative hypotheses. Then we discuss the discrimination between models incorporating contrasting assumptions concerning the form of the space-time clusters. We also examine further practical extensions of the model to situations where the background seismicity is spatially non-homogeneous, and the clusters are non-isotropic. The goodness-of-fit of the models, as measured by AIC values, is discussed for two high quality data sets, in different tectonic regions. AIC also allows the details of the clustering structure in space to be clarified. A simulation algorithm for the models is provided, and used to confirm the numerical accuracy of the likelihood

Article of the Future | Presentation Inline supplementary computer code





- Present computer code in context in the main article
- In expandable box, user can open or close
- Code can be copied to the clipboard for validation & re-use

Article of the Future: Content AudioSlides



Authors explain their paper in their own words

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E.C.	Volume 8, Issue 4, July-August 2012, Pages 371-374	Cen.	A	Applications and tools	Θ
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Dimitri J. Pournaras, M.R.C.S. ^{a, b} , Erlend T. Aasheim, M.D., Ph.D. ^a , Marco Bueter, M.D. ^a , Ahmed R. Ahmed, F.R.C.S. ^a , Richard Welbourn, M.D., F.R.C.S. ^b , Torsten Olbers, M.D., Ph.D. ^a , Carel W. le Roux, M.R.C.P., Ph.D. ^a				5 slides, 04:24 min This presentation has not been peer-reviewed. Copyright © 2012 Elsevier B.V. All rights reserved.	Di la contra con
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- Non-peer reviewed
- SD and YouTube Gallery

- Short (5 min) webinar-style presentation, slides + audio
- Shown next to the article on ScienceDirect
- Created by author using online tool developed by Elsevier

http://www.elsevier.com/audioslides

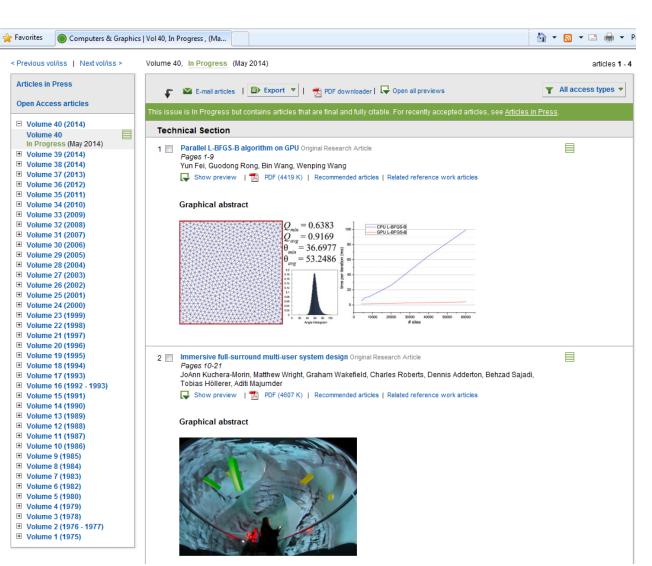
http://www.elsevier.com/about/content-innovation/audioslides-author-presentations-for-journal-articles/audioslides-gallery

Article of the Future: Graphical Abstracts



Graphical Abstracts

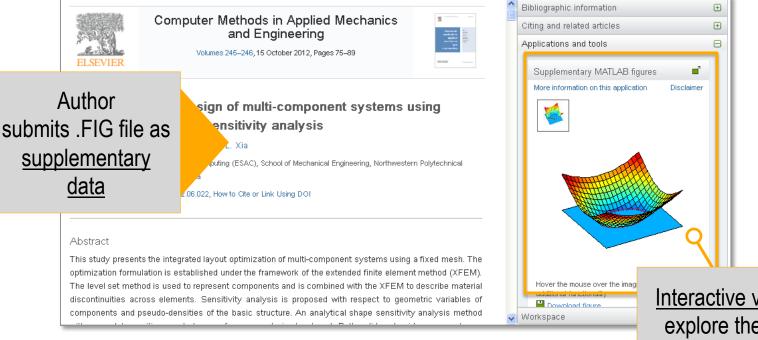
A single, concise, pictorial and visual summary of the main findings of the article. This could either be the concluding figure from the article or a figure that is specially designed for the purpose, which captures the content of the article for readers at a single glance



Article of the Future: Content Interactive MATLAB .FIG viewer



Making plots more valuable for research

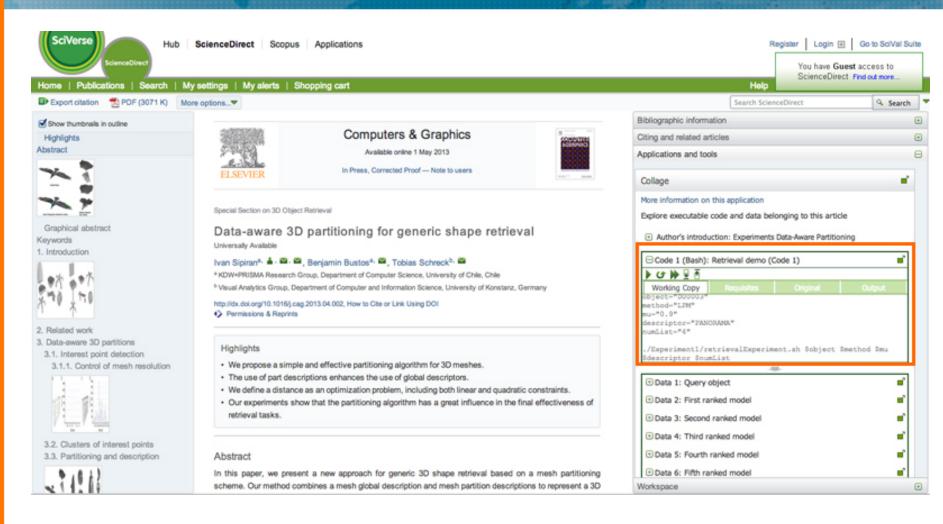


- Explore figures interactively zoom, rotate, etc.
- Download underlying data for validation & re-use
- Currently in pilot phase for 5 journals

Interactive viewer explore the plot from within the online article

Article of the Future: Content Executable Papers - interface

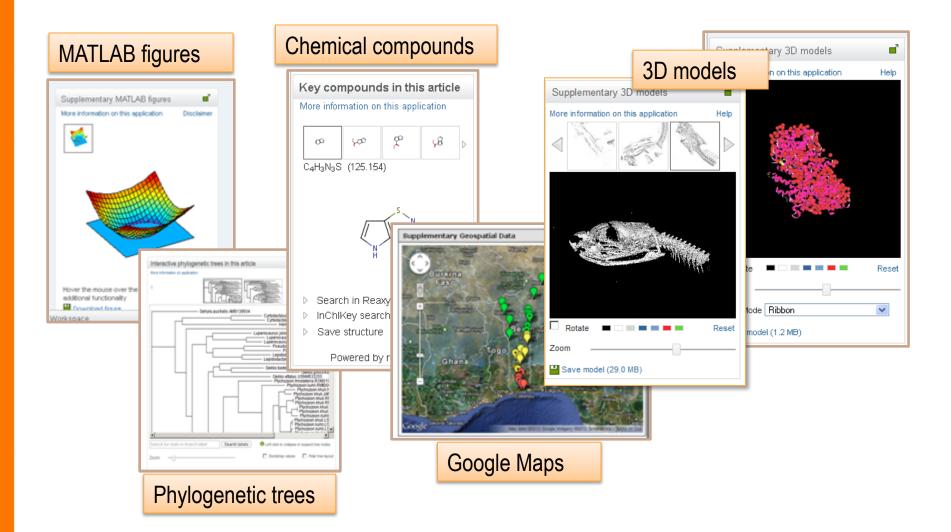




http://www.sciencedirect.com/science/article/pii/S0097849313000484 http://www.elsevier.com/connect/executable-papers-in-computer-science-go-live-on-sciencedirect

Content: there's more!





http://www.elsevier.com/about/content-innovation

Article of the Future: Context Data-linking based on tagged Entities

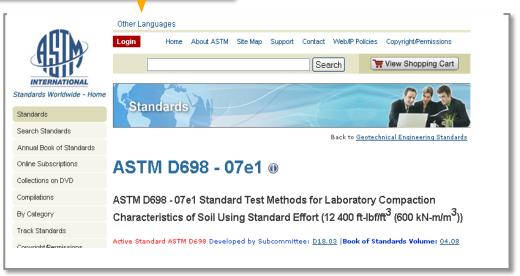




approximately 1.65 g/cm³. The CSC also contained a 15 cm layer of uncompacted topsoil overlaying the compacted layers to support growth of herbaceous vegetation and control erosion.

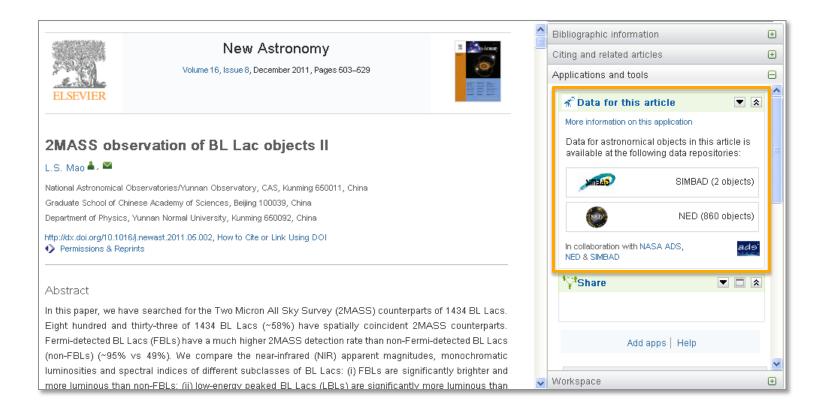
The ET cover design was based upon the results of an unpublished preliminary modeling study conducted by CH2MHill, a project consultant. The study's authors utilized the Simultaneous Heat and Water (SHAW) model (Flerchinger and Saxton, 1989) to demonstrate that an approximate 60 cm layer of vegetated forest soils would inhibit moisture at least as effectively as would a prescriptive CSC. Consequently, the second lysimeter was capped with a 60 cm ET cover consisting of minimally-compacted, organic-rich forest soils. The ET soils were classified as silts and silty sands (USCS-ML and USCS-SM), and placed usi around pressure equipment at 80% to 90% of maximum proctor density as determined by ASTM ID: D698. The ET cover was placed in two 30 cm lifts. In addition, the ET lysimeter contained a root pamer roo om depth to cipated to be a discourage root penetration into the drainage system. Deep root penetration was not problem in the CSC lysimeter, so a root barrier was not used on the CSC lysimeter. A he impregnatedfabric root barrier was permeable to moisture, the root barrier in the ET lysimeter was ass ed not to impede moisture flow or impact drainage results.

- For entities (concepts) mentioned in an article – proteins, genes, standards planets, cities, etc. etc.
- Available for 10+ data repositories
- Author-tagged for precision



Article of the Future: Context Data-linking in Astronomy





- One-click access to relevant primary data
- Links to all data available at data repository for this specific article
- In collaboration with NASA ADS, SIMBAD & NED

Open Access Regulations and Policies: What you need to know

• • •

Aren't Acknowledgments Hard Enough?

- A wide variety of requirements and regulations about open access to published work
 - Governmental (mostly national, funding agency)
 - University (policies on institutional repositories)
 - Other funders, other stakeholders
- What do you need to know here?

Three Thoughts

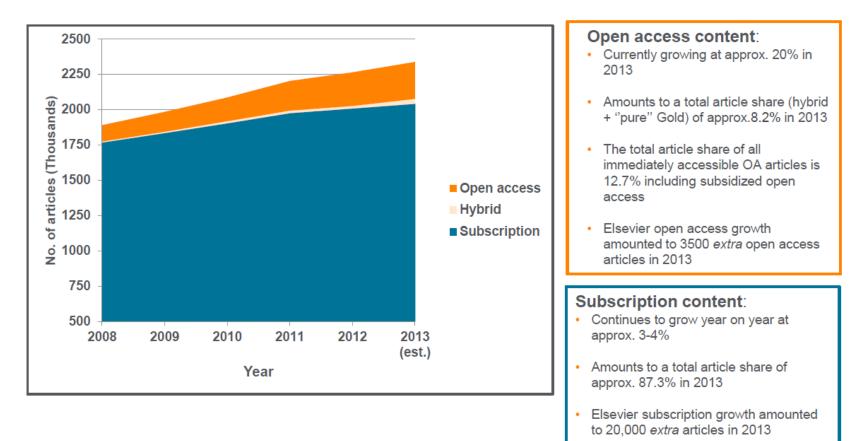
- 1. Some good news: publishers generally work well with government mandates (e.g., CHORUS).
- 2. University policies often require some specific action with relation to publishers.
- Some faculty care a lot about these issues, but most just want to publish in venues they care about – compliance may require support!

Challenge: New business and funding models



Total article growth by journal business model

There were in 2013, estimated worldwide 2,041,106 published subscription and 297,596 published open access articles





Elsevier is encouraged by the OSTP memo and directives

- It promotes gold open access funded through publishing charges and flexible embargo periods for green open access.
- It seeks to leverage publishing industry investments rather than duplicate efforts.
- It encourages collaboration.

Elsevier and Open Access



Gold open access

Expanding our gold options:

- Launching new open access journals
- Rolled out gold options in our established journals (over 1600 hybrid titles)
- Waiving policy in place for authors

Improving our systems

- Making the author publishing experience easier
- · Improving open access labelling
- Working with our society partners



Green open access

- Posting policy enables the option to self-archive in all of our journals
- Toward a new posting policy:
 - Permit immediate internal use in institutional repositories
 - Public access after embargo of typically 12 – 24 months
- Piloting ways to facilitate green open access:
 - CHORUS
 - Agreements with funders and institutions
 - New repository tools such as embed PDF and metadata pilots



100 Open access journals

> **1600+** Offer gold open access options

3 Creative Commons licenses offered including CC BY

\$500-\$5000

(US Dollars) Price range of our OA fees

Open Q&A

The Future of Scholarly Publication: Key Issues Facing Computing Research

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