Making a Federal Case for Computing

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CACM.ACM.ORG OF THE ACM 03/2010 VOL.53 NO.03

Chasing the AIDS Virus

Making Decisions Based On Preferences of Multiple Agents

The Google File System

Global IT Management

Engineering the Web's Third Decade

Privacy on the Data Web



IT Policy Making the Case for Computing

viewpoints

Seeking funding for current and future computing initiatives requires both a strong argument and a broad community of supporters.

HEN IT COMES tO distributing trillions in U.S. taxpayer dollars, funding for science joins a crowded field of special interests where competition for federal funding is fierce. Policymakers are ultimately stewards of taxpayer dollars and must make judgments about the areas in which government has a legitimate reason to invest. And because tax dollars are not limitless, policymakers must prioritize federal investments, deciding which programs or which agencies have the most compelling need for funding.

Consequently, every special interest—from researchers to road-

builders, health care professionals to hovercraft manufacturers—has an advocacy group urging policymakers to focus federal investment in their particular area. What ties all of these groups together is the need to have a story—a case to make to Congress, the Administration and the American people—that justifies the expenditure of those tax dollars on the things they care about.

e Funding Decisions

The stakes are high. Last year (fiscal year 2009), the U.S. discretionary budget—that is, the amount not automatically committed to federal programs like Social Security or Medicare—was

just over \$1 trillion. Congress spent that money, as it does every year, by parceling it out to federal agencies and programs in 12 separate pieces of legislation. This is quite literally a zero-sum game. Aggregate spending by Congress is capped, and each of these 12 appropriations bills has its own spending cap. This means that once the spending caps are reached and they always are—any additional increase in spending for one program must be offset by an equal reduction in another program.

As a result, policymakers find the need to invest in fundamental research in competition with the need to fund agricultural subsidies, or the



Government funding for computing research is tight and the competition plentiful. A new infrastructure for computational oceanography incorporating the VisTralls system created by the University of Utah was among the scientific projects receiving support from The National Science Foundation's Cluster Exploratory (CluE) program in 2009.

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Structure of Talk

- Why CRA does policy
- How CRA does policy
- The situation we face

Why does CRA do policy?

Strengthen research and education in the computing fields.









CRA's Government Affairs Committee

CRA Government Affairs Committee

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GAC's Mission

Develop a deeper understanding of policy issues and their impact, and work for informed policies involving computing research and computing technology in general.

•Influence policy related to computing research by improving public and policy maker understanding of the nature and role of such research.

•Increase the computing community's awareness of and participation in policy issues.

Goal

Become the "organization of record" for computing research policy issues

We're part of an active computing policy community

- ACM Ed Policy/USACM EDUCAUSE
- IEEE-CS/IEEE-USA
- SIAM

- AAAI, USENIX
- NCWIT
- CASC
 Industry Groups

Innovation Policy and Maintaining the Health of the Research Ecosystem

- Research Funding and Priorities
- Access to Talent
- Impediments to Research

Stakes are High

Abyssinian Development Corp Ad Council Adler Planetarium & Astronomy Museum Afikim Foundation Alaska Sealife Center Alfalit International Alliance of Nonprofit Mailers Alliance to Protect Nantucket Sound Allies for Cherry Point's Tomorrow Alpha One Foundation American Assn of Law Libraries American Assn of Museums American Commodity Distribution Assn American Defense Council American Friends of Hebrew University American Hellenic Educ Progressive Assn American Library Assn American Museum of Natural History American Society of Plant Biologists American Tinnitus Assn American Vets Disabled for Life Memorial Americans for the Arts Americans United/Separation Church/State AmeriDream Inc Amistad America An Achievable Dream Inc Andre Agassi Charitable Foundation Appalachia Service Project Aquarium of the Pacific Arison Family Trust Arizona Science Center Asphalt Green Assn for Homeowners Across America Assn of Critical Care Transport Assn of Fundraising Professionals Attic Correctional Services August Wilson Cntr/African Amer Culture Austin Hill Country Conservancy Benetech Initiative Big Brothers/Big Sisters of America Bipartisan Advocacy Center Bipartisan Policy Center Bipartisan Policy Ctr Advocacy Network Boston Museum of Fine Arts Boston Museum of Science Boy Scouts of America Boys & Girls Clubs of America Boys & Girls Town of Missouri Boysville Brooklyn Botanical Garden California Academy of Sciences California Center For Land Recycling California Science Center Foundation CARE Action Now Care Coalition Career Gear Carnegie Institute Carnegie Library of Pittsburgh Center for Civic Education Center for Individual Freedom Center for Inquiry Center for Rural Affairs Center for Science in Public Interest Certified Financial Planner Brd of Stds Chabot Space & Science Center Chadd Inc Chicago Botanic Garden Chicago Zoological Society Children's Discovery Museum of San Jose

Communicating for Agriculture Community Food Security Coalition Connected Nation Development Corp Connecticut Center/Science Exploration Copyright Alliance Corcoran Gallery Of Art Corporate Voices for Working Families Corporation for Enterprise Development Council for Citizens Against Govt Waste Council on Foundations **Crim Fitness Foundation** Cure Alzheimers Fund Dakota Boys & Girls Ranch DC Central Kitchen Democracy 21 Denver Museum of Nature & Science Dialysis Patient Citizens **Discovery** Science Center Duane Morris Government Affairs Duluth Children's Museum ECMC Group Envision Families of Flight 93 Federation of State Humanities Council Fermi Research Alliance Field Museum Figge Art Museum Fight Crime: Invest In Kids First Candle Folger Shakespeare Library Fort Wayne Allen County Economic Foundation for Better Oregon Fragernal Crite of Eagles Friends of Charities Assn STR Friends of the Griffith Observatory Friends of the World Food in gram From the Tar Future of Music Coal non GAVI Fund Georgian Bay Forever Girl Scouts of the USA Go for Broke National Education Center God's Love We Deliver Goodwill Industries International Goodwill Industries/metro Chicago

Grammy Foundation

Harbor Heritage Society

Healey Family Foundation

Heartland Family Service

Green for All

HONOReform

Houston Zoo

Independent Sector

Great River Economic Development Fdtn

Henry Ford Museum & Greenfield Village

Hillside Family of Agencies Homeownership Preservation Foundation

Houston Advanced Research Center

Institute for Student Achievement

Irag & Afghanistan Veterans of America

Immunodeficiency Foundation

Institute of Cetacean Research

Jacobus Tenbroek Memorial Fund

International Reading Assn

Jeffrey Modell Foundation

Jewish Heritage Museum

Greenway Foundation Guardian Angel Holdings Habitat for Humanity International

Lymphoma Research Foundation Make It Right Foundation Maltz Museum of Jewish Heritage Mansfield Foundation MATCH Coalition MCNC Medical Library Assn MERS/Missouri Goodwill Industries Metropolitan Museum of Art Miami Museum of Science & Space Transit Miami Project to Cure Paralysis Michigan Research Institute Midpeninsula Regional Open Space Dist Military Child Education Coalition Missouri Botanical Garden Missouri Public Transit Assn Monterey Bay Aquarium Mote Marine Laboratory Muscular Dystrophy Assn Museum of Flight Museum of Latin American Art Museum of Science & Industry Museum of the City of New York My Turn Inc Mystic Marine Life Aquarium Mystic Seaport Museum National Aquarium in Baltimore National Aviary National Building Museum National Center for State Courts National Center for Victims of Crime National Coalition for History National Community terring journ ation National Community Renaissance National Down Syndrome Society National Fire Protection Assn Ni tion in the comphile Society Ni tional Miad & School Asse Hational Museum of Industrial History

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National Museum of Women in the Arts National Safety Council National Women's History Museum National Young Farmers Education Assn Natl Assn Exchange of Industrl Resources Natl Assn of Foster Grandparents Natl Assn of Local Housing Finance Agenc Natl Assn/Univ Forest Resource Programs Natl Cltn for Women with Heart Disease Natl Commodity Supplemental Food Program Natl Conf of Cmsrs on Uniform State Laws Natl Immigration Forum Action Fund Natl Museum of American Jewish History Natl Underground Railroad Freedom Center Naval Aviation Museum Foundation Nehemiah Corp of America New Detroit Science Center New England Aquarium New Schools Venture Fund New York Botanical Garden New York Hall of Science New York Public Library New York Structural Biology Center Newark Museum North Carolina Museum of Natural History Northern Manhattan Improvement Corp Oakland Museum of California Ohel Children's Home & Family Services OK Native American Cultural & Educ Auth Open Society Policy Center

Points of Light Institute Preservation Stations Preserve Prevent Blindness America Preventive Medicine Research Institute Prince William Sound Science Center Promotional Products Assn Public Allies Purdue Research Foundation Queens Borough Public Library Reach Out & Read National Center Reading Recovery Council/North America Recording Academy Recording for the Blind & Dyslexic Research Triangle Institute Resources Legacy Fund Foundation Rock & Roll Hall of Fame & Museum **Rockefeller Family Fund** Rotary Foundation of Rotary Intl RTI International Ruddy, Ray Rural Community Development Corps Safe Blood for Africa Corp Safer Foundation Samaritan Center Samueli Institute San Diego State Univ Research Foundation Sand County Foundation Sandra Segerstrom Daniels Schenectady Museum & Planetarium SE GA Friends Fort Steward & Hunter Sesame Workshop Silent Spring Institute Simon Wiesenthal Center South Carolina National Guard Assn South Florida Goodwill Southwest Research Institute Special Olympics St Louis Art Museum St Louis Science Center St Louis Zoo Stan Hywet Hall & Gardens Steel Industry Heritage Corp Sterling & Francine Clark Art Institute Sunlight Foundation Susan G Komen For The Cure Swope Community Enterprises Tacoma Goodwill Tampas Lowry Park Zoo Team Focus Texas A&M University Textile Museum Thurgood Marshall Scholarship Fund Tides Advocacy Fund Tides Center Tides/Electronics Takeback Coalition Transportation for America Underwriters Laboratories United Negro College Fund United Service Organizations United Way of America US Endowment for Forestry & Communities **US** Industry Coalition US Public Interest Research Group US Space & Rocket Center USS Saratoga Museum Foundation Vehicle Donation Coalition Virginia Air & Space Center Volunteers of America Water Environment Research Foundation

2009

Ires





FY 2010 Budget Request (in billions)



Congressional Budget (in billions)



Congressional Budget (in billions)



Some Agencies Within the Commerce, Justice, Science Appropriations Bill

- National Science Foundation
- NIST
- NASA
- NOAA
- Census Dept
- Justice Department

So, we have to make a case compelling enough to compete... ...but with a much more limited tool box. Our success is based on the strength of our story

Fortunately, we have a pretty good story.

Advances in computing have changed all aspects of our lives...



Conduct commerce...

...how we learn...







...our employment...

...our health care...



... how we manufacture ...





...how government functions...

... how we preserve our national security...



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...how we communicate ...

...and how we're entertained.



There's a compelling economic case

- Computing drives our economy, not just through the growth of the IT industry, but also through productivity gains across the entire economy
- Remarkable economic growth between '95 and '02 was spurred by productivity growth enabled almost completely by factors related to IT¹
- IT enables productivity growth, enables the economy to run at full capacity, enables goods to be allocated more efficiently and the production of higher quality goods and services²

1. Jorgenson, Dale W., Mus S. Ho, and Kevin J. Stiroh. Productivity, Volume 3: Information Technology and the American Growth Resurgence. MIT Press. 2005.

2. Atkinson, Robert D., Andrew S. McKay. Digital Prosperity: Understanding the Economic Benefits of the Information Technology Revolution. Information Technology and Innovation Foundation. 2007.

Advances in computing are enabling innovation in all other fields...

In Science and Engineering...



Computer modeling, visualization and data analysis have joined observation, theory, and experiment as the drivers of scientific discovery.

And advances in computing continue unabated...
The history is compelling, but the future opportunities are even more compelling:

- The future of networking
- Revolutionizing transportation
- Delivering personalized education
- Enabling the smart grid
- Empowering the developing world
- Improving health care
- Driving advances in *all* fields of S&E

It's impossible to imagine a field with greater opportunity to change the world

So why is this a concern for federal policymakers?

Federal research is at the heart of the IT R&D Ecosystem

"[An] extraordinarily productive interplay of federally funded university research, federally and privately funded industrial research, and entrepreneurial companies founded and staffed by people who moved back and forth between universities and industry."

-NRC on the federal IT R&D Program



Source: From [6], reprinted with permission from the National Academy of Sciences, courtesy of the National Academies Press, Washington D.C. © 2003.

"Tire Tracks" circa 1995



FIGURE 1.2 Government-sponsored computing research and development stimulates creation of innovative ideas and industries. Dates apply to horizontal bars, but not to arrows showing transfer of ideas and people. Table 1.1 is a companion to this figure.



Source: From [6], reprinted with permission from the National Academy of Sciences, courtesy of the National Academies Press, Washington D.C. © 2003.

Essentially every aspect of IT on which we rely today bears the stamp of federal support.

So, we have a pretty compelling story. How do we use it?

- Congressional testimony
- We host our own events and partner with others
- We've developed a "good brand"
- We strive to engage the community in policymaking

- Part of a broader science advocacy community that's looking for good stories to tell
 - Join coalitions around our interests
 - CNSF, CNSR, TFAI, ESC, AHPDM
- We leverage our interactions with the press

• We leverage new media (or try to)



On Facebook

On Twitter @CRATweets

 Try to use events like Snowbird to reach out to our own community and to policymakers

And we've had reasonable success.

Where do we stand now?

Good news first...

Science agencies fared OK in FY10 Approps

FY 2010 Funding for Some Key Science Agencies (in millions)

Agency	FY 09 Estimate	FY 10 Request	FY 10 Conference	\$ change	%change
NSF	\$4,833	\$5,290	\$5,188	\$356	7.4%
DOE Sci	\$4,326	\$4,468	\$4,43I	\$105	2.4%
Defense	\$14,537	\$12,263	\$14,801	\$264	1.8%
NIST	\$550	\$637	\$603	\$53	9.6%
NIH	\$29,747	\$30,184	\$30,427	\$680	2.3%

FY 2010 Computing Research Accounts at NSF (in millions)

	FY 09 Estimate	FY 10 Request	FY 10 Conference	\$ change	%change
NSF CISE	\$574	\$633	\$620	\$47	8.1%
NSF OCI	\$199	\$219	\$215	\$15	7.7%

FY 2010 Computing Research Accounts at DOE Sci (in millions)

	FY 09 Estimate	FY 10 Request	FY 10 Conference	\$ change	%change
DOEASCR	\$369	\$409	\$394	\$25	6.8%

FY 11 Request - Total Research (basic and applied) by agency, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
NSF	\$4,673	\$5,104	\$430	9.2%
DOE	\$6,993	\$7,73 I	\$738	10.6%
Defense	\$6,330	\$6,477	\$147	2.3%
NIST	\$439	\$513	\$74	16.9%
NIH	\$30,334	\$31,265	\$931	3.1%

FY 11 Request - NITRD by agency, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
NSF	\$1,091	\$1,171	\$80	7%
Defense	\$1,278	\$1,107	-\$171	-13%
HHS	\$986	\$1,019	\$33	3%
Energy	\$495	\$524	\$29	6%
Commerce	\$104	\$119	\$15	14%
NASA	\$82	\$82		
EPA	\$6	\$6		
NARA	\$5	\$5		

Computing Research Accounts at NSF FY 11 Request, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
NSF CISE	\$619	\$685	\$66	10.6%
NSF OCI	\$214	\$228	\$14	6.4%

NSF Funding by Directorate FY 11 Request, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
BIO	\$715	\$768	\$53	7.5%
CISE	\$619	\$685	\$66	10.6%
ENG (-SBIR/STTR)	\$618	\$683	\$65	10.5%
GEO	\$890	\$955	\$66	7.4%
MPS	\$I,352	\$1,410	\$58	4.3%
SBE	\$255	\$269	\$14	5.3%

- Science, Engineering and Education for Sustainability (SEES) - \$29.3 million in CISE; \$765 million Foundation-wide
- Cyberlearning for Transforming Education (CTE) –
 \$15 million in CISE; \$41 million Foundation-wide
- Also, continued participation in CDI (\$50 M) and SEBML (\$15 M)
- \$70 million bump to Trusted Computing research

Defense R&D FY11 Request, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
DOD 6.1	\$1,830	\$1,998	\$168	9.2%
DOD 6.2	\$4,500	\$4,479	-\$21	-0.5%
DARPA	\$2,99I	\$3,103	\$112	3.7%

Key Accounts at DARPA FY 11, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
Defense				
Research	\$206	\$328	\$122	59.2%
Sciences				
Math and CS	\$46	\$73	\$27	58.7%
Transformative Sciences		\$53	\$53	
ICT	\$272	\$28I	\$9	3.3%
Cog Comp	\$144	\$90	-\$54	-37.5%
Machine Intelligence		\$44	\$44	

DOE Office of Science Advanced Scientific Computing Research FY 11 request, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
ASCR	\$394	\$426	\$32	8.1%
ARPA-E		\$300	\$300	

DOE NNSA FY 11 request, in millions

	FY 10 Estimate	FY II Request	\$ change	%change
Adv. Sim and Computing	\$568	\$616	\$48	8.5%

Congress seems inclined to continue "doubling" trajectory

House Commerce, Justice, Science markup provided NSF with its requested increase (with a little change)

House Energy & Water mark also looks good (but a long way to go)

But there are some looming clouds

House and Senate Defense Authorization

Election-year politics is making a mess of the calculus

Right Direction / Wrong Track



President Obama's Job Approval



Congressional Approval


Generic House Ballot



GOP smells blood.

Notes that should be slam dunks, aren't.

H.R.5116

111TH CONGRESS 2D SESSION

IN THE SENATE OF THE UNITED STATES

JUNE 9, 2010 Received

JUNE 29, 2010 Read twice and referred to the Committee on Commerce, Science, and

Transportation

AN ACT

To invest in innovation through research and development, to improve the competitiveness of the United States, and for other purposes.

Be it enacted by the Senate and House of Representa-1

2 tives of the United States of America in Congress assembled,

Case study: America COMPETES Reauthorization of 2010 Reauthorization goes a bit beyond the original bill:

- Authorization increases from 3 to 5 years
- Includes NITRD and NNI bills
- Includes new programs to bolster Industrial Innovation
- Rate of increase "steeper"

"I remain committed to the underlying goals of the America COMPETES Act and believe that we should continue to prioritize investments in basic research and science, technology, engineering, and mathematics (STEM) education. However, this bill spends too much money and goes far beyond the original intent and scope of the COMPETES legislation."

-Rep. Ralph Hall (R-TX), Ranking Member, House S&T Committee



Porn Surfing Rampant At U.S. **Government Agency** First Posted: 09-29-09 04:11 PM | Updated: 1

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Hall crafts "Motion to Recommit With Instructions"

- Eliminates "duplicative" programs
- Reduces authorization to 3 years
- Freezes agency budgets unless "budget is balanced"
- Adds some veterans benefit language
- Prohibits agencies from paying the salaries of any federal workers disciplined for viewing pornography on federal computers

Do you believe a few days suspension is "good enough" for federal workers who waste taxpayer dollars looking at pornography on the job?



Vote John Doe in November!

House Vote 993, on HR 5116

"Every body raise your hand that's for pornography. Come on, raise your hand. Nobody? Nobody is for pornography? Well, I'm shocked. So I guess we need this little bitty provision that means nothing. That's going to gut the entire bill. This is an embarrassment, and if you vote for this, you should be embarrassed."

> -Rep. Bart Gordon (D-TN), Chair of the House Science and Technology Committee and sponsor of H.R. 5116

Gordon's Revenge

- Reintroduces COMPETES a few days later with minor changes (including anti-porn language), calls it a "Jobs Bill"
- Wants to Force Republicans to be "anti-Jobs"
- Uses "Suspension of the Rules," loses vote (expectedly)
- Berates Republicans for killing U.S. jobs

Gordon's Revenge

- Reintroduces the original COMPETES
 Reauthorization
- Brings up the Motion to Recommit
- Asks the Chair to "Divide the Question"
- Dems defeat all the controversial measures, pass the anti-porn language
- COMPETES Reauthorization finally passes

On to the Senate for COMPETES

What happens next?

- Appropriations won't get done in regular order
- Headed for a Continuing Resolution
- Likely resolved with an Omnibus When?

Turnover among some key Members



Vern Ehlers (R-MI)



Brian Baird (D-WA)



Bart Gordon (D-TN)



Bob Inglis (R-SC)



David Obey (D-WI)



Alan Mollohan (D-WV)

Long term forecast





The situation was competitive when deficits were in the billions...

Without a strong case and support from a broad community — industry, higher education, scientific societies — research funding and the innovations it enables will face a chilly reception among policymakers What else are we looking to do?

- Improve opportunities at other mission agencies
- Improve the pathway to funded initiatives
- Make sure the community is represented on advisory boards and in national initiatives
- Continue to support our partners

We're looking for your help, too.

(you knew that was coming)

Join the Computing Research Advocacy Network (CRAN)

http://www.cra.org/govaffairs/advocacy/cran/

Get "Action Alerts" and updates from CRA staff so you don't miss important opportunities to speak out on behalf of computing research

A Proposal:

Congressional Visits Day Fly-in

Computer Science EDUCATION WEEK csedweek.org

With your help, we'll continue to make the case for computing research wherever we can.

Thanks!

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